

State of New Jersey
 Department of Treasury
 Integrity Monitoring Reporting Model
 Engagement: Task Order #: DOT 1-1A-E; Contract # 88364
 For Quarter Ending: 12/31/2015

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Department of Transportation and New Jersey Department of Environmental Protection	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	FHWA and FEMA	
3.	State Funding (if applicable)	Yes	
4.	Award Type	FHWA and FEMA	
5.	Award Amount	Approximately \$242 million excluding change orders	
6.	Contract/Program Person/Title	Ahmad Qureshi is the Project Manager for DOT projects and Erick Doyle is the Project Manager for the DEP project	
7.	Brief Description, Purpose and Rationale of Project/Program	NJDOT and NJDEP received funding from the Federal Highway Administration (FHWA) and Federal Emergency Management Agency (FEMA) for contracts in connection with damage caused by Superstorm Sandy. NJDOT procured the services to 3 contractors for 3 different projects for restoration repairs along Route 35. Those 3 contractors are Union Paving and Construction Company (DP 13130), George Harms Construction Company (DP 13120), and Agate Construction Company (DP 13114). NJDEP procured the services of EIC Associates to construct and install a four-mile long steel seawall to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters.	
8.	Contract/Program Location	NJ Department of Transportation, Trenton NJ and NJ Department of Environmental Protection, Toms River, NJ	
9.	Amount Expended to Date	All DOT projects as of 12/31/15 and including change orders: DP 13130 = approximately \$94,838,742; DP 13120 = approximately \$158,455,857; DP 13114 = approximately \$51,055,173. NJDEP = approximately \$22,896,000 as of July 2015.	
10.	Amount Provided to other State or Local Entities	\$0	
11.	Completion Status of Contract or Program	DP 13130 substantially complete; DP 13120 approximately 94%; DP 13114 approximately 96%; EIC substantially complete.	
12.	Expected Contract End Date/Time Period	DP 13130 - punchlist stage and is substantially complete; DP 13120 - per Resident Engineer, mid-January 2016; DP 13114 - punchlist stage and is substantially complete; EIC substantially complete.	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	For NJDEP's project, the project manager noted that the project is almost complete and about 98% of the steel seawall is now covered in sand to help protect sections of Mantoloking, Brick and Route 35 from future natural disasters. There has been several walkthroughs and inspections and no issues or comments were noted. As for the NJ DOT projects, Per Project Manager for the DOT projects, DP 13130 is substantially complete and was noted that September is the estimated final completion date. For DP 13120, they are hoping to have the project substantially completed by May 2016. Significant delays were incurred during the summer months due to a stop order because of the high volume of visitors. As for DP 13114, this project is substantially complete.	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	All site visits and follow up visits were conducted during this quarter at their respective locations with the project managers. We also performed an inventory and general documentation review for the 3 NJDOT and 1 NJ DEP projects, including drawings with the project managers. We also conducted visual inspections of the job sites including the pump stations.	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Management has been cooperative in making themselves available to help move our monitoring of the contracts.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Outside of the site visits, no testing over procurement has been conducted during this quarter. Although our kick off meeting was January 23, 2015, there were several delays in regards to the contract and our official start date was pushed back to May 26, 2015. This also caused delays in receiving supporting documents. We received the requested items from NJ DEP the last week of December. As for the NJ DOT projects, we received a list of expenditures that included all pay estimates as of February 2015 and will continue to review the updated list as they become available.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	No, see item #16.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	See #19 below	
19.	Provide details of any integrity issues/findings	During the week of November 2, 2015 we conducted field visits for the 3 DOT (Agate, Harms, & Union) and 1 DEP (EIC) projects. We noted various observations during our field visit and provided them to DOT and DEP for their consideration along with their observations noted. We conducted follow up visits the week of December 14, 2015 and plan on following up with the respective parties for items that have been cleared.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	See #19 above	
21.	Provide details on any other items of note that have occurred in the past quarter	See #19 above	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	None noted	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	SEE ATTACHMENT - NEXT TAB IN SPREADSHEET	

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No.	Recipient Data Elements	Response	Comments
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	N/A	

Name of Integrity Monitor:	CliftonLarsonAllen LLP
Name of Report Preparer:	Gregory J. Bussink, III, Principal
Signature:	
Date:	4/1/2016

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HOURS

Principal	4.75
Program manager	34.50
Project manager	261.70
Associate/staff	<u>-</u>
Total Hours	<u><u>300.95</u></u>

Expenses

Travel and other	\$ 3,007.26
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