

**Thacher Associates LLC**  
**Work Authorization NO. 3**  
**Integrity Oversight Monitoring Services for HNTB**  
**Program and Project Management Support**  
**Contract No. 14-033-D**

Firm Name: \_\_\_\_\_  
 Engagement: **New Jersey Transit P.O. No. B-51391-003**  
 For Quarter Ending: **12/31/2020**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
<b>A. General Info</b>			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$40,500,000.00	Represents total funds authorized under HNTB Contract 13-007B.
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing program management support, project management support and related activities over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Management of Programs and Projects in various locations	
9.	Amount Expended to Date	\$37,656,433.69	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Completed	
12.	Expected Contract End Date/Time Period	March 31, 2018	
<b>B. Monitoring Activities</b>			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	<p>During the quarter, Thacher Associates ("TA") conducted teleconferences with NJT Capital Programs regarding HNTB invoice ledger distribution and reconciled invoiced charges by grant code to expenditures per Task Order Assignments.</p> <p>TA performed reviews of subconsultant personnel charge rates, and reviewed HNTB Invoices 25, 35, and 48 regarding charge rates and expense charges.</p> <p>TA also conducted research and conflict checks of HNTB, its key personnel, a selection of Task Order Contractors, and key NJT personnel with regard to the procurement of HNTB and other Task Order Contractors.</p>	

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15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	During the quarter, TA received HNTB invoice distribution schedules prepared by NJT, confirmation of insurance coverage, and supporting documentation regarding deliverables rendered per HNTB Invoice 60.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	TA conducted research and conflict checks of HNTB, its key personnel, a selection of Task Order Contractors, and key NJT personnel with regard to the procurement of HNTB and other Task Order Contractors.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	TA reviewed charges in HNTB Invoices 25, 35, and 48.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Please see Item 14 for description of IOM activities.	
19.	Provide details of any integrity issues/findings	None.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	None.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
<b>C. Miscellaneous</b>			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Martin Aronchick, Managing Director Signature:  Date: April 1, 2021
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Attachment for Question #23

<b>Timekeeper</b>	<b>Hours</b>
Chris Ward	-
Edison Montalvo	-
Deborah Thangam	-
Karmen Naidoo	-
Kevin Mullins	-
Kyle Paul	-
Linda Negron	3.50
Martin Aronchick	4.00
Michael Bernstein	120.00
Michael Carroll	-
Margaret Rose	20.50
Peter Graham	27.25
Robert Thompson	-
Scott Millman	-
Sherry Chang	1.50
Thomas Klein	-
	<b>176.75</b>