

**Thacher Associates LLC
 Work Authorization NO. 2
 Integrity Oversight Monitoring Services for BEM
 Environmental Services for the Superstorm Sandy
 Program**

Firm Name: Contract No. 14-033-D
New Jersey Transit P.O. No. B-51391-001

Engagement: (Line No. 3)

For Quarter Ending: 09/30/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	New Jersey Transportation Trust Fund (TTF)	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$14,977,032.01	
6.	Contract/Program Person/Title	Jignasa Desai-McCleary - Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing environmental consulting services over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Services to Programs and Projects in various locations	
9.	Amount Expended to Date	\$15,518,180.31	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Ongoing	
12.	Expected Contract End Date/Time Period	December 2021	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	

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No.	Recipient Data Elements	Response	Comments
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	<p>Thacher Associates performed cursory reviews of a sample of BEM monthly progress reports and select BEM deliverables relating to quality assurance, environmental screening and compliance reporting, project status reporting, change orders, and design investigation work planning. Thacher Associates also received and performed cursory reviews of recent BEM invoices and an accounts payable listing of invoices from and payments to BEM.</p> <p>On September 26, 2019, Thacher Associates conducted a teleconference with New Jersey Transit (NJT) and BEM officials for fraud risk assessment purposes. Thacher Associates also submitted a draft fraud risk assessment plan to NJT during the third quarter.</p> <p>Thacher Associates also submitted its quarterly report to New Jersey State Department of the Treasury for quarter ended March 31, 2019.</p>	
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	Thacher Associates received and performed cursory reviews of recent BEM invoices and an accounts payable listing of invoices from and payments to BEM.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	Prepared document requests, performed preliminary document reviews, and conducted telephonic interviews for fraud risk assessments.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	Not applicable.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Prepared document requests, performed preliminary document reviews, and conducted telephonic interviews for fraud risk assessments.	
19.	Provide details of any integrity issues/findings	No findings.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	No findings.	

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No.	Recipient Data Elements	Response	Comments
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Martin Aronchick, Managing Director Signature:  Date: January 2, 2020
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Attachment for Question #23

Timekeeper	Hours
Chris Ward	-
Karmen Naidoo	15.50
Kevin Mullins	1.00
Kyle Paul	9.75
Martin Aronchick	-
Michael Bernstein	45.00
Stephen Brenker	-
Steven Mania	-
	<u>71.25</u>