

**Thacher Associates LLC
 Work Authorization NO. 3
 Integrity Oversight Monitoring Services for HNTB
 Program and Project Management Support**

Firm Name: _____
 Engagement: **New Jersey Transit P.O. No. B-51391-003**
 For Quarter Ending: **06/30/2019**

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
A. General Info			
1.	Recipient of funding	New Jersey Transit Corporation	
2.	Federal Funding Agency? (e.g. HUD, FEMA)	Federal Transit Administration	
3.	State Funding (if applicable)	N/A	
4.	Award Type	FTA - Public Transportation Emergency Relief Program	
5.	Award Amount	\$40,500,000.00	Represents total funds authorized under HNTB Contract 13-007B.
6.	Contract/Program Person/Title	Jignasa Desai-McCleary, Chief, Procurement & Support Services	
7.	Brief Description, Purpose and Rationale of Project/Program	This project consists of providing program management support, project management support and related activities over Superstorm Sandy Recovery and NJ TRANSIT Resiliency Program.	
8.	Contract/Program Location	Management of Programs and Projects in various locations	
9.	Amount Expended to Date	\$37,656,433.69	
10.	Amount Provided to other State or Local Entities	None	
11.	Completion Status of Contract or Program	Completed	
12.	Expected Contract End Date/Time Period	March 31, 2018	
B. Monitoring Activities			
13.	If FEMA funded, brief description of the status of the project worksheet and its support.	N/A	
14.	Quarterly Activities/Project Description (include number of visits to meet with recipient and sub recipient, including who you met with, and any site visits warranted to where work was completed)	Thacher Associates scheduled and conducted interviews of the HNTB Project Manager on April 30, 2019 and again on May 9, 2019 at Penn Plaza Headquarters to discuss HNTB's roles and responsibilities relating to its Task Order Assignments from NJ Transit. Thacher Associates later prepared additional requests for information from NJ Transit to inform a revised fraud risk assessment. Thacher Associates also filed its Report for the quarter ended March 31, 2019 to the Department of the Treasury on July 1, 2019.	

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Work Authorization NO. 3
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Program and Project Management Support
Contract No. 14-003-D

Firm Name: _____
 Engagement: **New Jersey Transit P.O. No. B-51391-003**
 For Quarter Ending: **06/30/2019**


Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
15.	Brief Description to confirm appropriate data/information has been provided by recipient and what activities have been taken to review in relation to the project/contract/program.	As noted above, Thacher Associates conducted two interviews of the HNTB Project Manager, who provided detailed information regarding HNTB's roles and responsibilities over the course of the project. Thacher Associates requested information relating to HNTB deliverables which were later received during Q3 2019.	
16.	Description of quarterly auditing activities that have been conducted to ensure procurement compliance with terms and conditions of the contracts and agreements.	No substantive audit activities took place during the quarter.	
17.	Have payment requisitions in connection with the contract/program been reviewed? Please describe	No additional payment requisitions were reviewed during the quarter.	
18.	Description of quarterly activity to prevent and detect waste, fraud and abuse.	Thacher Associates conducted interviews of the HNTB Project Manager to determine potential areas of vulnerability to waste, fraud, and abuse. Thacher Associates requested additional documentation from NJT in order to further assess vulnerabilities and risk.	
19.	Provide details of any integrity issues/findings	No findings.	
20.	Provide details of any work quality or safety/environmental/historical preservation issue(s).	No findings.	
21.	Provide details on any other items of note that have occurred in the past quarter	Not applicable.	
22.	Provide details of any actions taken to remediate waste, fraud and abuse noted in past quarters	Not applicable.	
C. Miscellaneous			
23.	Attach a list of hours and expenses incurred to perform your quarterly integrity monitoring review	Please see attachment.	
24.	Add any item, issue or comment not covered in previous sections but deemed pertinent to monitoring program.	None.	

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Firm Name: Contract No. 14-003-D
Engagement: New Jersey Transit P.O. No. B-51391-003
For Quarter Ending: 06/30/2019

Reports required under A-60 will be submitted by Integrity Monitors on the first business day of each calendar quarter to the State Treasurer and will contain detailed information on the projects/contracts/programs funded by the Disaster Relief Appropriations Act.

No.	Recipient Data Elements	Response	Comments
	Name of Integrity Monitor: Thacher Associates LLC Name of Report Preparer: Martin Aronchick, Managing Director Signature:  Date: October 1, 2019		

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Firm Name: Contract No. 14-003-D
Engagement: New Jersey Transit P.O. No. B-51391-003
For Quarter Ending: 06/30/2019

Attachment for Question #23

Timekeeper	Hours
Chris Ward	4.25
Edison Montalvo	-
Karmen Naidoo	0.25
Kevin Mullins	-
Linda Negron	3.00
Martin Aronchick	7.75
Michael Bernstein	27.75
Michael Carroll	-
Robert Thompson	0.75
	<u>43.75</u>

Note: Hours presented in the previous report, which covered the quarter ended March 31, 2019, were reported on a cumulative basis to include hours expended prior to that quarter. A revised schedule has been submitted to account for hours expended in the quarter ended March 31, 2019 only.